



DEP & REF

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#61 Reg for  
Refund  
04-02-02

Applicant: Rudolf Ritter

Serial No.: 10/014,683

Art Unit: 2681

Filed: December 11, 2001

Title: PORTABLE RADIO RECEIVER WITH AN IDENTIFICATION  
MODULE

Docket No.: 34225

REFUND REQUEST

Assistant Commissioner for Patents  
Washington D.C. 20231

Sir:

Applicant's representative requests a refund of the \$280.00 charged to Deposit Account No. 16-0820 on December 19, 2001. The fee code 104 indicates the charge was for Multiple Dependent Claims. However, the fee for the Multiple Dependent Claims was paid by check when the application was filed on December 11, 2001. A copy of the Fee Transmittal is enclosed.

Please contact the undersigned if you have any questions regarding this request.

Respectfully submitted,  
PEARNE & GORDON LLP

By:

Thomas P. Schiller, Reg. No. 20677

526 Superior Avenue East  
Suite 1200  
Cleveland, Ohio 44114-1484  
(216) 579-1700  
February 27, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on the date indicated below.

Thomas P. Schiller  
Name of Attorney for Applicant(s)

February 27, 2002 *Thomas P. Schiller*  
Date Signature of Attorney



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PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1272.00)

## Complete if Known

Application Number	
Filing Date	12/11/2001
First Named Inventor	Rudolph Ritter
Examiner Name	
Group Art Unit	
Attorney Docket No.	34225

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 16-0820  
Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370 Utility filing fee	740.00
106	330	206 165 Design filing fee	
107	510	207 255 Plant filing fee	
108	740	208 370 Reissue filing fee	
114	160	214 80 Provisional filing fee	
SUBTOTAL (1) (\$ 740.00)			

### 2. EXTRA CLAIM FEES

Total Claims	34	Extra Claims	Fee from below	Fee Paid
Independent Claims	3	-20** = 14	x 18.00	252.00
Multiple Dependent		- 3** = 0	x 0.00	0.00
				280.00 = 280.00

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim, if not paid
109	84	209 42 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ 532.00)		

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,280	241 640 Petition to revive - unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$ 0.00)

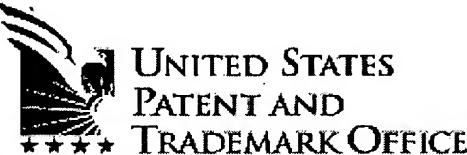
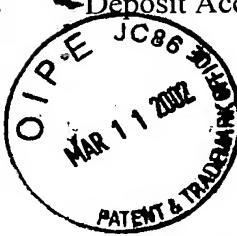
## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Thomas P. Schiller	Registration No. (Attorney/Agent)	20677	Telephone	216-579-1700
Signature		Date	Dec 11, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## Deposit Account Statement

**Requested Statement Month:** December 2001  
**Deposit Account Number:** 160820  
**Name:** PEARNE & GORDON LLP  
**Attention:** CHERYL KEELER  
**Address:** 526 SUPERIOR AVENUE EAST  
**City:** CLEVELAND  
**State:** OH  
**Zip:** 44114-1484

DATE	SEQ	POSTING REF	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
12/05	97	78096596		361	\$325.00	\$6,058.06
12/06	1	09812808	32283US1	116	\$400.00	\$5,658.06
12/06	2	09812808	32283US1	103	\$342.00	\$5,316.06
12/06	8	09432364	32057	131	\$740.00	\$4,576.06
12/06	9	09432364	32057	103	\$18.00	\$4,558.06
12/07	2	09432364	32057	122	\$130.00	\$4,428.06
12/07	3	09432364	32057	116	\$400.00	\$4,028.06
12/07	5	09342407	31859	119	\$320.00	\$3,708.06
12/07	6	09342407	31859	117	\$920.00	\$2,788.06
12/10	12	E-REPLENISHMENT		701	-\$3,000.00	\$5,788.06
12/11	3	09522733	32025	202	\$42.00	\$5,746.06
12/11	4	09789233	31112US1	248	\$55.00	\$5,691.06
12/11	4	09363711	31900	116	\$400.00	\$5,291.06
12/11	60	78097547		361	\$1,950.00	\$3,341.06
12/12	1	09540080		102	\$84.00	\$3,257.06
12/12	2	09540080		148	\$110.00	\$3,147.06
12/12	81	1904859		372	\$100.00	\$3,047.06
12/12	82	1904859		373	\$200.00	\$2,847.06
12/12	83	1904859		381	\$100.00	\$2,747.06
12/12	440	PCT/US01/45399	31772WO	899	\$381.00	\$2,366.06
12/12	442	PCT/US01/45399	31772WO	566	\$15.00	\$2,351.06
12/13	2	10008280		704	\$18.00	\$2,333.06
12/13	78	10008027		704	-\$285.00	\$2,618.06
12/13	103	10008280		704	-\$18.00	\$2,636.06
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12/14	357	1181824		372	\$100.00	\$1,886.06
12/14	358	1181824		365	\$400.00	\$1,486.06
12/17	4	E-REPLENISHMENT		701	-\$2,000.00	\$3,486.06
12/17	27	1681704		372	\$100.00	\$3,386.06
12/17	28	1681704		365	\$400.00	\$2,986.06
12/17	40	E-REPLENISHMENT		701	-\$3,000.00	\$5,986.06

12/17 52 76104111		363	\$100.00	\$5,886.06
12/17 146 503216		569	\$25.00	\$5,861.06
12/18 10 10014714		704	-\$280.00	\$6,141.06
12/18 73 78098724		361	\$1,950.00	\$4,191.06
12/19 83 10014683	34225	103	\$908.00	\$3,283.06
12/19 85 10014683	34225	104	\$280.00	\$3,003.06
12/19 137 10016284		704	-\$42.00	\$3,045.06
12/20 26 10020110	34256	104	\$280.00	\$2,765.06
12/21 4 09482987	32276	131	\$740.00	\$2,025.06
12/21 11 E-REPLENISHMENT		701	-\$2,000.00	\$4,025.06
12/21 205 75381643		364	\$150.00	\$3,875.06
12/31 8 29152552	34135	206	\$5.00	\$3,870.06
12/31 10 29152551	34136	206	\$5.00	\$3,865.06
12/31 12 29152550	34137	206	\$5.00	\$3,860.06
START BALANCE		SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$6,383.06		\$13,148.00	\$10,625.00	\$3,860.06

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